

Appendix 4C

Quarterly report for entities subject to Listing Rule 4.7B

Introduced 31/03/00 Amended 30/09/01, 24/10/05, 17/12/10, 01/09/16

Name of entity

Contango Asset Management Limited and Controlled Entities

ABN

56 080 277 998

Quarter ended

30 September 2019

Consolidated statement of cash flows		Current Quarter	Year to date (3 months)
		\$A'000	\$A'000
1	Cash flows from operating activities		
1.1	Receipts from customers	1,128	1,128
1.2	Payments for;		
	(a) operating costs	(197)	(197)
	(b) advertising and marketing	(81)	(81)
	(c) staff costs	(858)	(858)
	(d) administration and corporate costs	(395)	(395)
	(e) restructuring costs (one off expenses relating to employee share plan cancellation)	(86)	(86)
	(f) corporate activity	(71)	(71)
1.3	Dividends received (see note 3)	-	-
1.4	Interest received	-	-
1.5	Interest and other costs of finance paid	(60)	(60)
1.6	Income taxes paid	-	-
1.7	Underwriting fees (one off fee relating to WCM Quality Global Growth Limited options)	(1,212)	(1,212)
1.8	Net cash from / (used in) operating activities	(1,832)	(1,832)

2	Cash flows from investing activities		
2.1	Payments to acquire:		
	(a) property, plant and equipment	-	-
	(b) businesses (see item 10)	-	-
	(c) investment in associate	-	-
	(d) intellectual property	-	-
	(e) other non-current assets	-	-
2.2	Proceeds from disposal of:	-	-
	(a) property, plant and equipment.	-	-
	(b) businesses (see item 10).	-	-
	(c) investments.	-	-
	(d) intellectual property.	-	-
	(e) other non-current assets	-	-
2.3a	Cash acquired in acquisition	-	-
2.3b	Cash flows from loans to associates	-	-
2.4	Dividends received	-	-
2.5	Other - GST on item 2.2(e)	-	-
	Other	-	-
2.6	Net cash from / (used in) investing activities	-	-

Consolidated statement of cash flows 30 September 2019	Current Quarter	Year to date (3 months)
Contango Asset Management Limited	\$A'000	\$A'000

3 Cash flows from financing activities		
3.1 Proceeds from issues of shares	-	-
3.2 Proceeds from issue of convertible notes	-	-
3.3 Proceeds from exercise of share options	-	-
3.4 Transaction costs related to issues of shares	-	-
3.5 Proceeds from borrowings	-	-
3.6 Repayment of borrowings	-	-
3.7 Transaction costs related to loans and borrowings	-	-
3.8 Dividends paid	-	-
3.9 Net cash from / (used in) financing activities	-	-

4 Net increase / (decrease) in cash and cash equivalents for the period		
4.1 Cash and cash equivalents at beginning of quarter/year to	4,442	4,442
4.2 Net cash from / (used in) operating activities (item 1.8	(1,832)	(1,832)
4.3 Net cash from / (used in) investing activities (item 2.6	-	-
4.4 Net cash from / (used in) financing activities (item 3.9	-	-
4.5 Effect of movement in exchange rates on cash held	-	-
4.5a Net Cash from acquired business	-	-
4.6 Cash and cash equivalents at end of quarter	2,610	2,610

5 Reconciliation of cash and cash equivalents at the end of the quarter (as shown in the consolidated	Current quarter \$A'000	Previous quarter \$A'000
5.1 Bank balances	2,610	4,442
5.2 Call deposits	-	-
5.3 Bank overdrafts	-	-
5.4 Other - Term Deposit - 60 days	-	-
5.5 Cash and cash equivalents at end of quarter	2,610	4,442

6 Payments to directors of the entity and their associates	Current quarter \$A'000
6.1 Aggregate amount of payments to these parties included in item 1.2	166
6.2 Aggregate amount of cash flow from loans to these parties included in item 2.3	-
6.3 Include below any explanation necessary to understand the transactions included in items 6.1 and 6.2	

Payment for Directors fees and MD Salary

Consolidated statement of cash flows 30 September 2019	Contango Asset Management Limited Appendix 4C
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7	Payments to related entities of the entity and their associates	Current quarter \$A'000
7.1	Aggregate amount of payments to these parties included in item 1.2	
7.2	Aggregate amount of cash flow from loans to these parties included in item 2.3	

8	Financing facilities available	Total facility amount at quarter end \$A'000	Amount drawn at quarter end \$A'000
8.1	Loan facilities	-	
8.2	Credit standby arrangements	-	-
8.3	Other (please specify)	-	-

9	Estimated cash outflows for next quarter	Next Quarter October - December \$A'000
9.1	Operating costs	202
9.2	Advertising and marketing	69
9.3	Staff costs	843
9.4	Administration and corporate costs	644
9.5	Restructuring costs	-
9.6	Repayment of borrowings	-
9.7	Other (provide details if material):	
	9.7.1 GST on payment received from NAOS in June 2019 quarter	166
	9.7.2 Corporate activity costs	12
9.8	Total estimated cash outflows	1,936

Consolidated statement of cash flows
30 September 2019

**Contango Asset Management
Limited**

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10	Acquisitions and disposals of business entities (items 2.1(b) and 2.2(b) above)	Acquisitions	Disposals
		YTD	
10.1	Name of entity	-	-
10.2	Place of incorporation or registration	-	-
10.3	Consideration for acquisition or disposal	-	-
10.4	Total net assets	-	-
10.5	Nature of business	-	-

Compliance statement

- 1 This statement has been prepared in accordance with accounting standards and policies
- 2 This statement gives a true and fair view of the matters disclosed.

Sign here:



Date: 22 October 2019

(Director/Company secretary)

Print name: Anthony Rule